

## NAWCWD PATENT AWARD POLICY

A series of three cash awards will be available for potentially patentable inventions disclosed by NAWCWD employees. These awards will be:

- 1) Disclosure Award;
- 2) Application Award; and
- 3) Issuance Award.

1. **Disclosure Award:** When a complete mission-related Invention Disclosure form is properly submitted to the Office of Counsel, each listed inventor will receive a cash award of \$250. [*see* Matrix §1]

2. **Application Award:** For each non-provisional patent application filed by the Office of Counsel with the United States Patent and Trademark Office (USPTO) for a new invention, provided that the inventors fully cooperate in the preparation of the patent application, each listed inventor will receive a \$500 Application Award. [*see*, Matrix §3]

a. If the USPTO determines that more than one invention is present in an initial patent application, the filing of consequent patent applications for such additional invention(s) will merit the awarding of an Application Award for each listed inventor on the new application. [*see*, Matrix §4]

b. When inventors provide new/updated information in an existing patent application, which leads to the presence of a new invention and an additional patent application (i.e., a C-I-P application) is filed with the USPTO, each listed inventor on the new application will receive an Application Award. [*see*, Matrix §5]

c. Subsequent related patent applications filed purely for procedural matters that do not claim a new invention, will not receive an Application Award. [*see*, Matrix §6&7]

3. **Issuance Award:** If the USPTO completes its examination of the patent application and issues a Notice of Allowance, each listed inventor will receive a \$500 Issuance Award after the Patent is issued. [*see*, Matrix §8]

If the invention is protected by a Secrecy Order, an Issuance Award will be paid to each listed inventor after a Notice of Allowability (D-10 or D-11) is received and upon submission to the Office of Counsel of a review of the secrecy order by the inventor(s). [*see*, Matrix §9]

# NAWCWD Patent Award Matrix

Disclosure Award	Event	§	Action (File an:)	Inventor Contributions	Award*
	New Invention	1	INVENTION DISCLOSURE STATEMENT <i>(see, NAWCWD Patent Award Policy: A.P. 1)</i>	All required fields are completed Provides sufficient disclosure and data needed to begin drafting an application	\$250 <sup>00</sup> per Inventor
Application Award	Event	§	Action (File a:)	Inventor Contributions	Award*
	NEW Patent Application	2	PROVISIONAL APPLICATION <i>(A.P. 2)</i>	Collaborate & cooperate with attorney  Sign required forms/papers	N/A
		3	NON-PROVISIONAL APPLICATION <i>(A.P. 2)</i>		\$500 <sup>00</sup> per Inventor
	"Child" Patent application  (Claiming priority from an earlier application)	4	DIVISIONAL APPLICATION <i>(A.P. 2a)</i>		
		5	C-I-P (Continuation-In-Part) Application • Resulting in the claiming of a new, patentably distinct invention. <i>(A.P. 2b)</i>		
		6	C-I-P (Continuation-In-Part) Application • <b>NO <u>NEW</u> claimed invention.</b> <i>(A.P. 2c)</i>		
	7	CONTINUATION APPLICATION <i>(A.P. 2c)</i>	N/A		
Issuance Award	Event	§	Action (File a:)		Inventor Contributions
	Notice of Allowance/ Allowability	8	PAYMENT OF ISSUE FEES and RECEIPT OF ISSUED PATENT <i>(A.P. 3)</i>	Collaborate & cooperate with attorney	\$500 <sup>00</sup> per Inventor
9		D-10 & D-11 – COMPLETION OF INITIAL REVIEW OF SECRECY ORDER <i>(A.P. 3)</i> Payment of Issue Fees deferred until removal of secrecy order	Sign required forms/papers		

\*ALL AWARDS ARE DISCRETIONARY AND MAY BE DENIED OR DELAYED FOR ANY REASON, INCLUDING BUT NOT LIMITED TO, FAILURE TO COOPERATE IN THE PATENT APPLICATION PROCESS.